

02-23-04

2111

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PTO/SB/21 (6/98)  
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# TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

<b>Application No.</b>	09/893,102		
	<b>Filing Date</b>	June 27, 2001	
	<b>First Named Inventor</b>	Carl Meert	
	<b>Group Art Unit</b>	2111	
	<b>Examiner Name</b>	Gopal C. Ray	
<b>Total Number of Pages in this Submission:</b>	3	<b>Attorney Docket No.</b>	SUN-P5574-NAK

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavit/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts Notice/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers for an application <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney by Assignee, with Revocation of Former Powers <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After-Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s): <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Credit Card Charge Form <input type="checkbox"/> Check for \$ _____
<b>Remarks:</b>		

## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

<b>Name</b>	Daniel E. Vaughan (Registration No. 42,199)	<b>Date</b>	February 20, 2004
<b>Signature</b>		<b>Telephone</b>	650/474-1973
<b>Address</b>	702 Marshall Street, Suite 310, Redwood City, CA 94063	<b>Facsimile</b>	650/474-1976

## CERTIFICATE OF MAILING

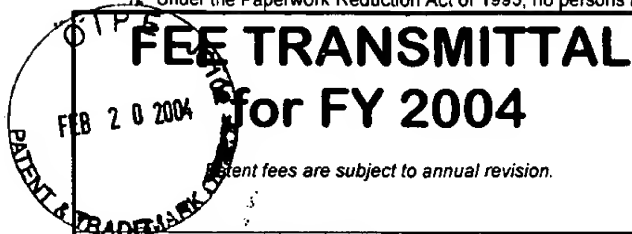
I hereby certify that this correspondence is being deposited with the U. S. Postal Service as <input checked="" type="checkbox"/> Express Mail (No. EL 978 246 607 US) or <input type="checkbox"/> First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: _____			
<b>Type or Printed Name</b>	Dana Carrillo	<b>Signature</b>	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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# FEE TRANSMITTAL

## for FY 2004

Patent fees are subject to annual revision.

### Complete if Known

Application Number	09/893,102
Filing Date	June 27, 2001
First Named Inventor	Carl Meert
Examiner Name	Gopal C. Ray
Group Art Unit	2111
Attorney Docket No.	SUN-P5574-NAK

TOTAL AMOUNT OF PAYMENT (\$ 0)

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number: 50-1801  
Deposit Account Name: Park, Vaughan & Fleming LLP

- ☒ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:  
☐ Check ☐ Credit card ☐ Money ☐ Other Order

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
		1001	770	2001 385	Utility filing fee
		1002	340	2002 170	Design filing fee
		1003	530	2003 265	Plant filing fee
		1004	770	2004 385	Reissue filing fee
		1005	160	2005 80	Provisional filing fee

SUBTOTAL (1) (\$ 0)

#### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
17	-21** = 0	18	0
5	-5** = 0	86	0

Multiple Dependent

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description
		1202	18	2202 9
		1201	86	2201 43
		1203	290	2203 145
		1204	86	2204 43
		1205	18	2205 9

SUBTOTAL (2) (\$ 0)

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
		1051	130	2051 65	Surcharge - late filing fee or oath
		1052	50	2052 25	Surcharge - late provisional filing fee or cover sheet.
		1053	130	1053 130	Non-English specification
		1812	2520	1812 2520	Request for ex parte reexamination
		1804	920*	1804 920*	Requesting publication of SIR prior to Examiner action
		1805	1840*	1805 1840*	Requesting publication of SIR after Examiner action
		1251	110	2255 55	Extension for reply within first month
		1252	420	2252 210	Extension for reply within second month
		1253	950	2253 475	Extension for reply within third month
		1254	1480	2354 740	Extension for reply within fourth month
		1255	2010	2255 1005	Extension for reply within fifth month
		1401	330	2401 165	Notice of Appeal
		1402	330	2402 165	Filing a brief in support of an appeal
		1403	290	2403 145	Request for oral hearing
		1451	1510	1451 1510	Petition to institute a public use proceeding
		1452	110	2452 55	Petition to revive - unavoidable
		1453	1330	2453 665	Petition to revive - unintentional
		1501	1330	2501 665	Utility issue fee (or reissue)
		1502	480	2502 240	Design issue fee
		1503	640	2503 320	Plant issue fee
		1460	130	1460 130	Petitions to the Commissioner
		1807	50	1807 50	Processing fee for provisional applications
		1806	180	1806 180	Submission of Information Disclosure Stmt
		8021	40	8021 40	Recording each patent assignment per property (times number of properties)
		1809	770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))
		1810	770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))
		1801	770	2801 385	Request for Continued Examination (RCE)
		1802	900	1802 900	Request for expedited examination of a design application

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

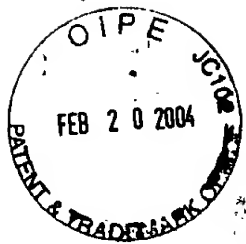
SUBTOTAL (3) (\$ 0)

### SUBMITTED BY

Name (Print/Type)	Registration No. (Attorney/Agent)	Telephone
Daniel E. Vaughan	42,199	650-474-1973
Signature	Date	
<i>Daniel E. Vaughan</i>	2/20/04	

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*Handwritten signature and date: 3/25/04*

First Named Inventor : Carl L. Meert  
Application No. : 09/893,102  
Filed : June 27, 2001  
Docket : SUN-P5574-NAK  
Title : Hot Swappable Electronic Module with Non-Staggered Conductors  
  
Group/Art Unit : 2111  
Examiner : Gopal C. Ray

**REPLY UNDER 37 C.F.R. § 1.111**

Commissioner for Patents  
Alexandria, VA 22313

Sir:

In response to the Office Action mailed December 17, 2003, please amend the subject application as follows and consider the corresponding Remarks.

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